

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL No.: EV 327673162 US

APPLICANT(s): HARKER et al.

SERIAL NO.: ART UNIT:

FILING DATE: Herewith EXAMINER:

TITLE: INTEGRATED VARIABLE OPTICAL ATTENUATOR AND
ISOLATOR, COMPONENTS THEREFOR AND METHOD
OF ASSEMBLY

ATTORNEY DOCKET

NO.: 871-011435-US (PAR) / 30020759-US 02

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
(37 C.F.R. §1.97(b)(1))

Sir:

This Information Disclosure Statement is filed together with the above-identified patent application. Thus, a certification under 37 C.F.R. 1.97 (e) or fee under 37 C.F.R. 1.17(p) is not required for the information herein to be considered.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application.

The above-identified patent application claims priority to European Patent Application No. 02256118.7 filed September 3, 2002. Applicants' attorney encloses a copy of a European Search Report issued on the priority European Patent Application No. 02256118.7. The Search Report cited United Kingdom Patent Application No. GB 2345761, Patent Abstracts of Japan publication Nos. JP 04060511, JP 11002781, and "Analysis Of A Tunable BandPass Filter Based On Faraday Rotators", Munin, IEEE Transactions on Magnetics, Vol. 32, No. 2, 1996.

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. §1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)).

The Commissioner is hereby authorized to charge payment for any additional fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

Respectfully submitted,



Joseph V. Gamberdell, Jr.

August 19, 2003

Date

Reg. No.: 44,695

PERMAN & GREEN, LLP

425 Post Road, Fairfield, CT 06824

(203) 259-1800

Customer No.: 2512

INFORMATION DISCLOSURE CITATION FORM FOR PATENT APPLICATION (FORM PTO-1449) (Substitute)			Docket No.: 871-011435-US(PAR) 30020759 US-02 Applicant(s): HARKER et al.	Serial No.:		
			Filing Date: Herewith	Group:		
U.S. PATENTS						
Initials	Patent Number	Issue Date	Name	Class	Sub-class	Filing date
U.S. PATENT PUBLICATIONS						
Initials	Publication No.	Pub. Date	Name	Class	Sub-class	Filing Date
FOREIGN PATENT DOCUMENTS						
Initials	Document Number	Date	Country	Name		Translation? Yes/No/n/a
	2345761	7/19/00	United Kingdom	Samsung Electronics Co., Ltd.		n/a
OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)						
Patent Abstracts of Japan, Publication No. 04060511						
Patent Abstracts of Japan, Publication No. 11002781						
“Analysis Of A Tunable Bandpass Filter Based On Faraday Rotators”, Munin, IEEE Transactions on Magnetics, Vol. 32, No. 2, 1996.						
Examiner's Signature: _____					Date Considered: _____	
Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).						